

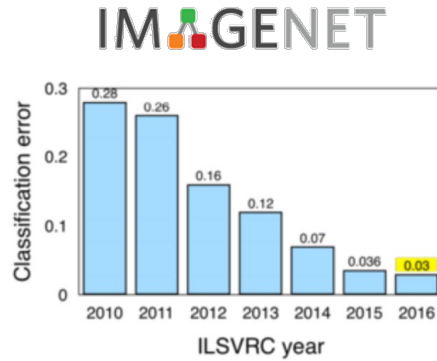


# Domain Adaptation for Data-Driven Fault Diagnosis

Qin Wang

# Success of Data-driven Methods on General Tasks

Image classification



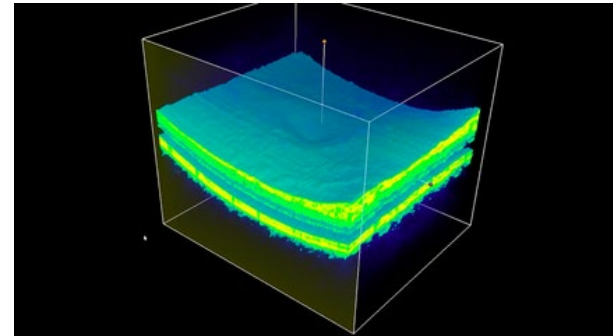
Detection and segmentation



Machine Translation

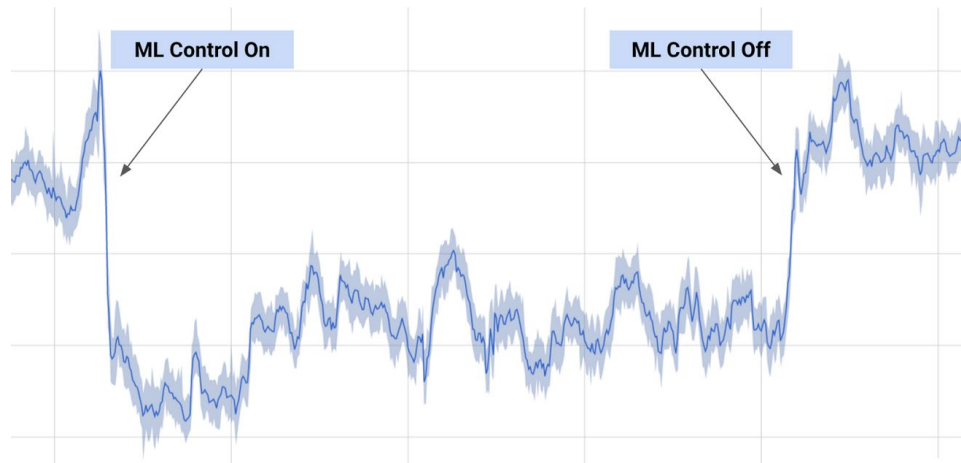


Eye disease diagnosis

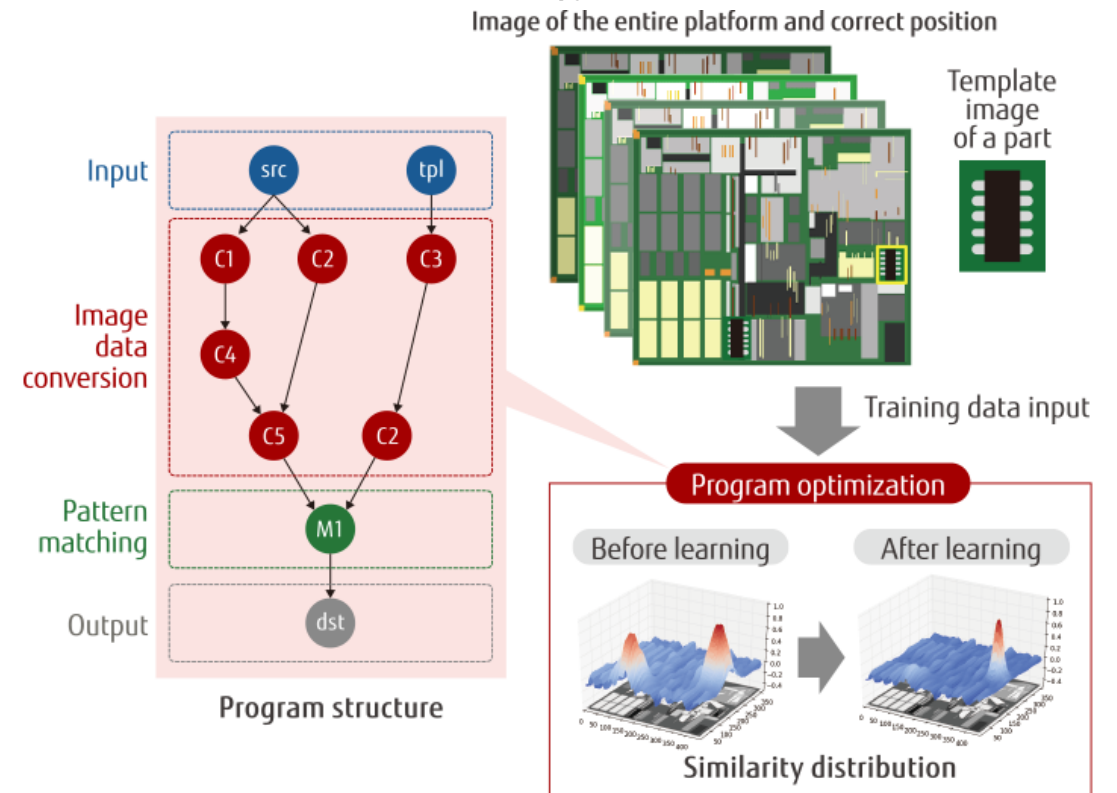


# Success of Data-driven Methods on Industrial Applications

## DeepMind Reduces Google Data Centre's Cooling Bill by 40%

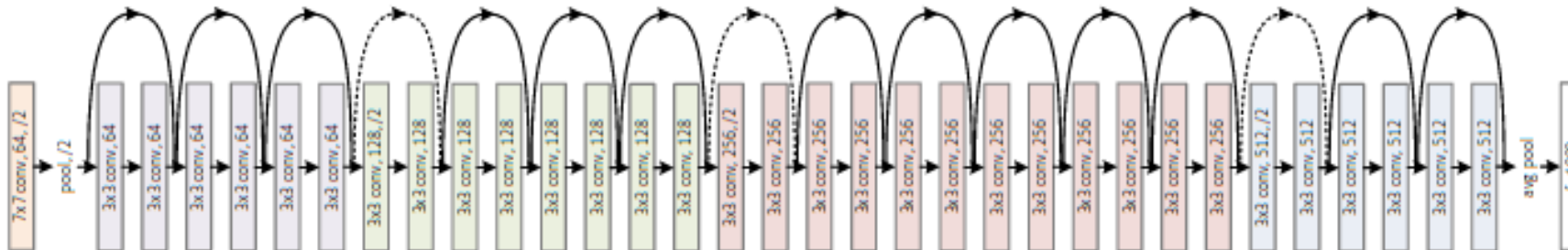


## Fujitsu reduces development time of parts assembly machines by 80% while maintaining recognition rates of 97%+



# Potential Problem

- Data hungry models
  - Requires a **huge** amount of labeled data
  - Popular ResNet-50: ~25M parameters
  - **Representativeness** matters a lot



What will happen if training data are  
**not representative?**

# What will happen if training data is not representative?

- Significant Performance **Drop**

amazon.com

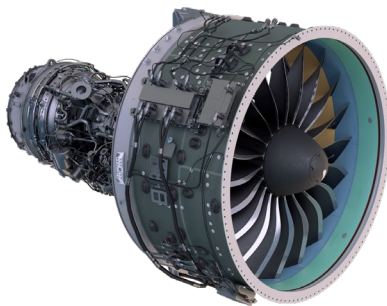


SVM accuracy: **54%**

webcam



SVM accuracy: **20%**



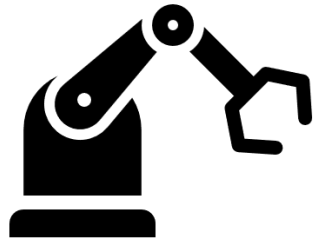
Training **Source** Domain



**Target** Domain

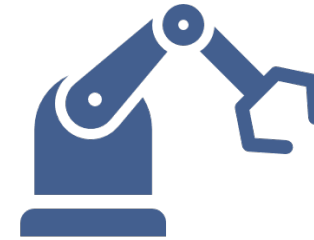


# More Problems for Fault Diagnosis in the Wild



## Source Machine

- Well studied
- Well labeled
- We trained a model !



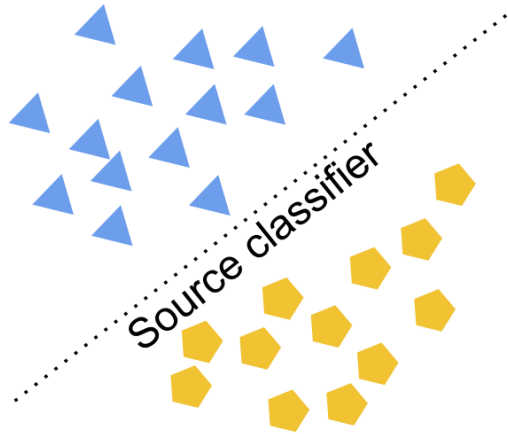
## Target Machine

- Newly setup, Not yet labeled
- **Different** operating conditions
- **Non-identical** component

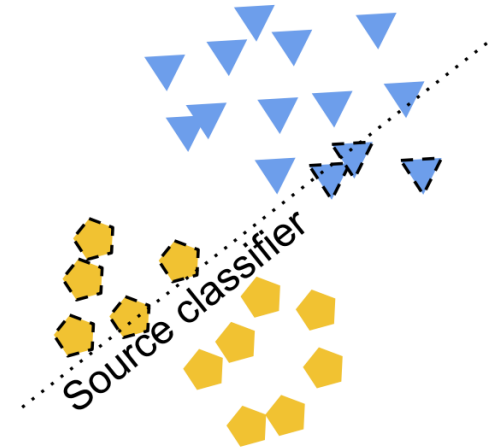
Source data **cannot represent** target machine well,  
the trained model from source **suffers performance deterioration**

# Domain Shift - Target domain different from Source domain

Source

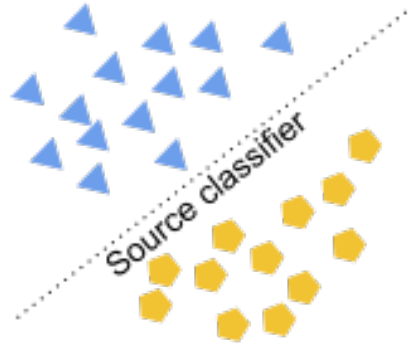


Target

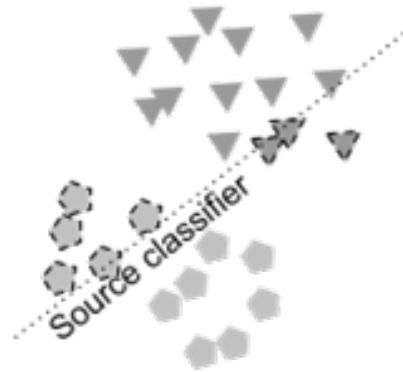




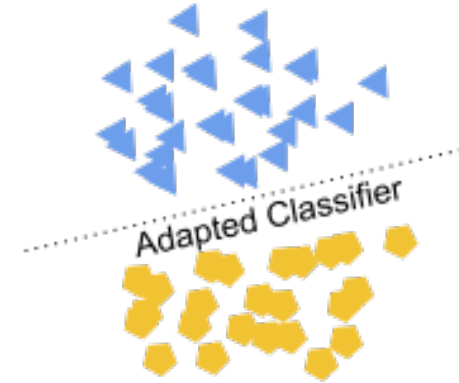
# Domain Adaptation (DA)



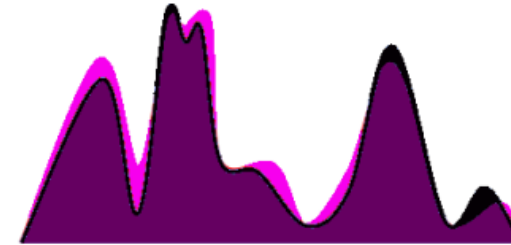
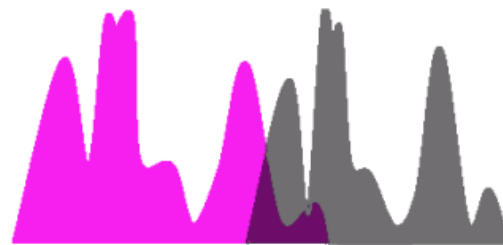
Labeled Source Domain



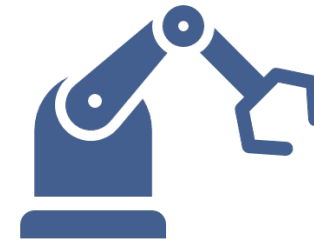
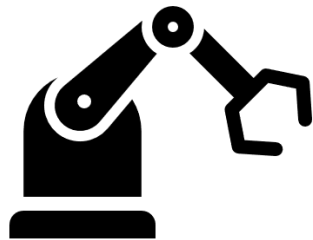
Unlabeled Target Domain



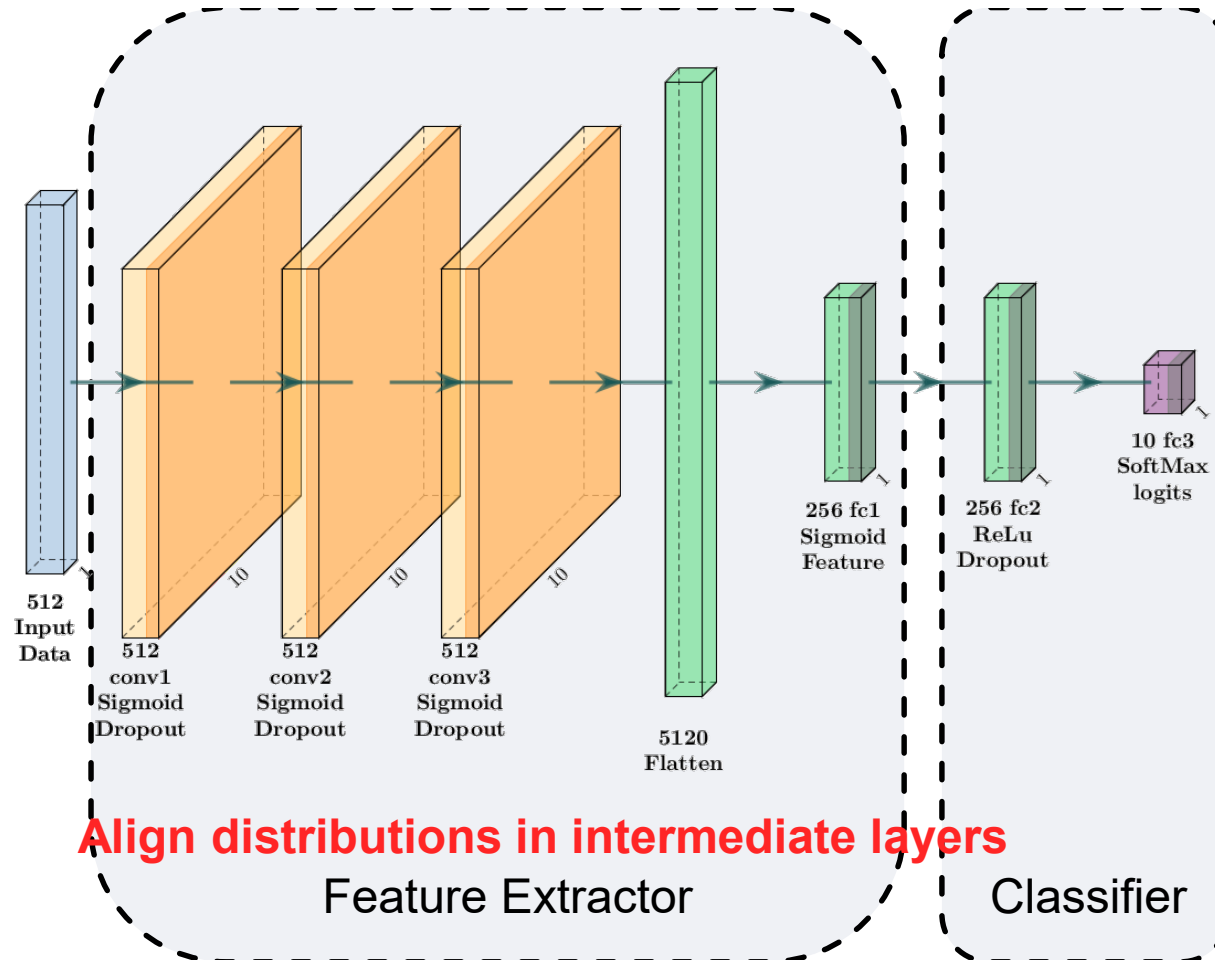
Adapted Domain



# How can we achieve this adaptation?

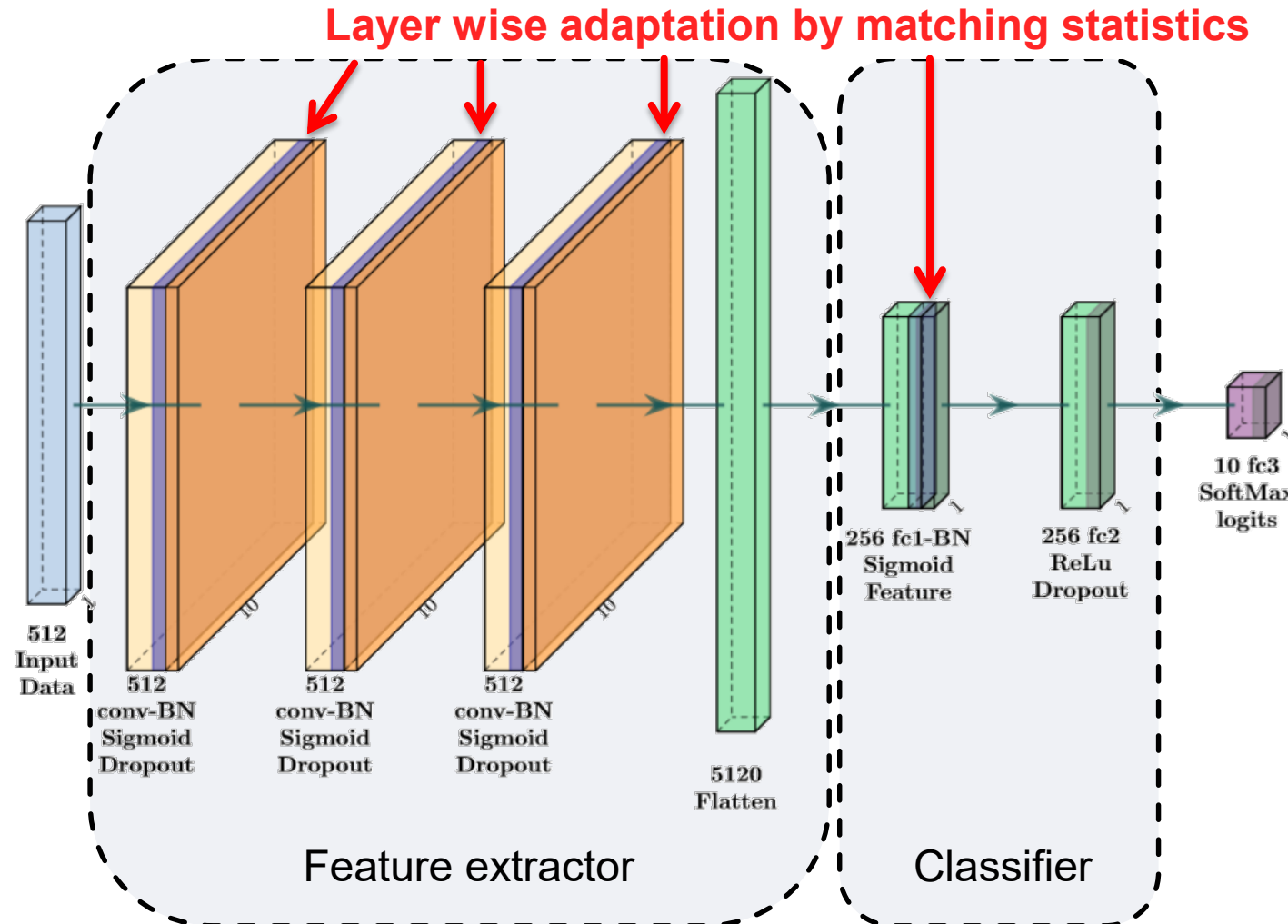


# Start from a Basic Network<sup>[14]</sup>



How should we add  
**domain adaptation ability**  
to this network?

# Adaptive Batch Normalization (AdaBN)



$$\hat{x}_j = \frac{x_j - \mathbb{E}[x_{.j}]}{\sqrt{\text{Var}[x_{.j}]}}$$

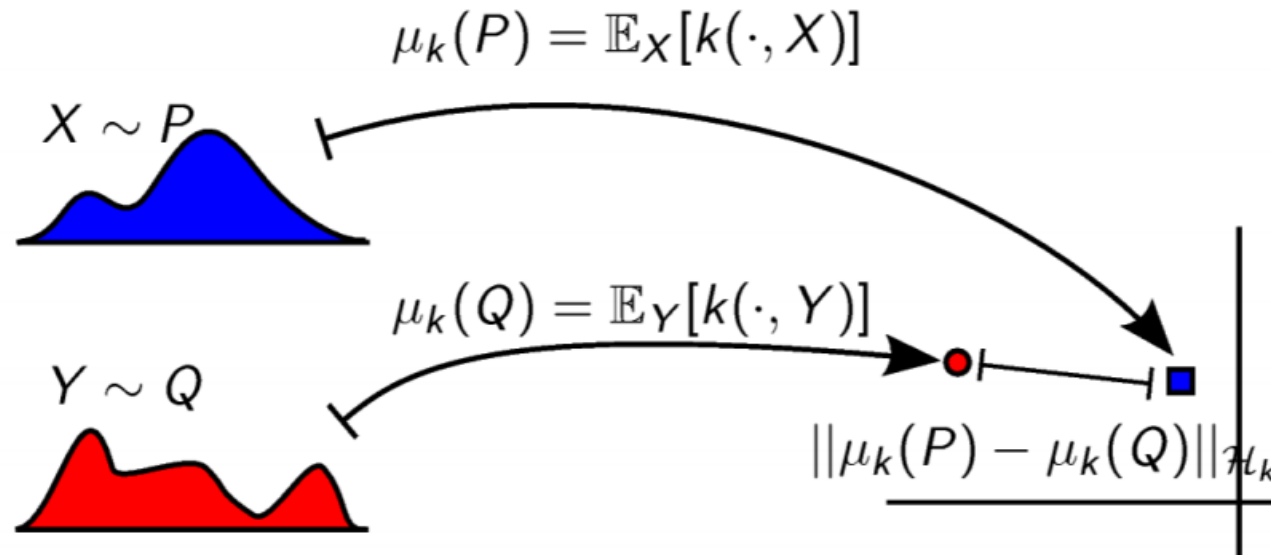
$$y_j = \gamma_j \hat{x}_j + \beta_j,$$

Keep different batch norm statistics for source and target.

- Pros  
**Simplicity.**
- Cons  
Performance not optimized

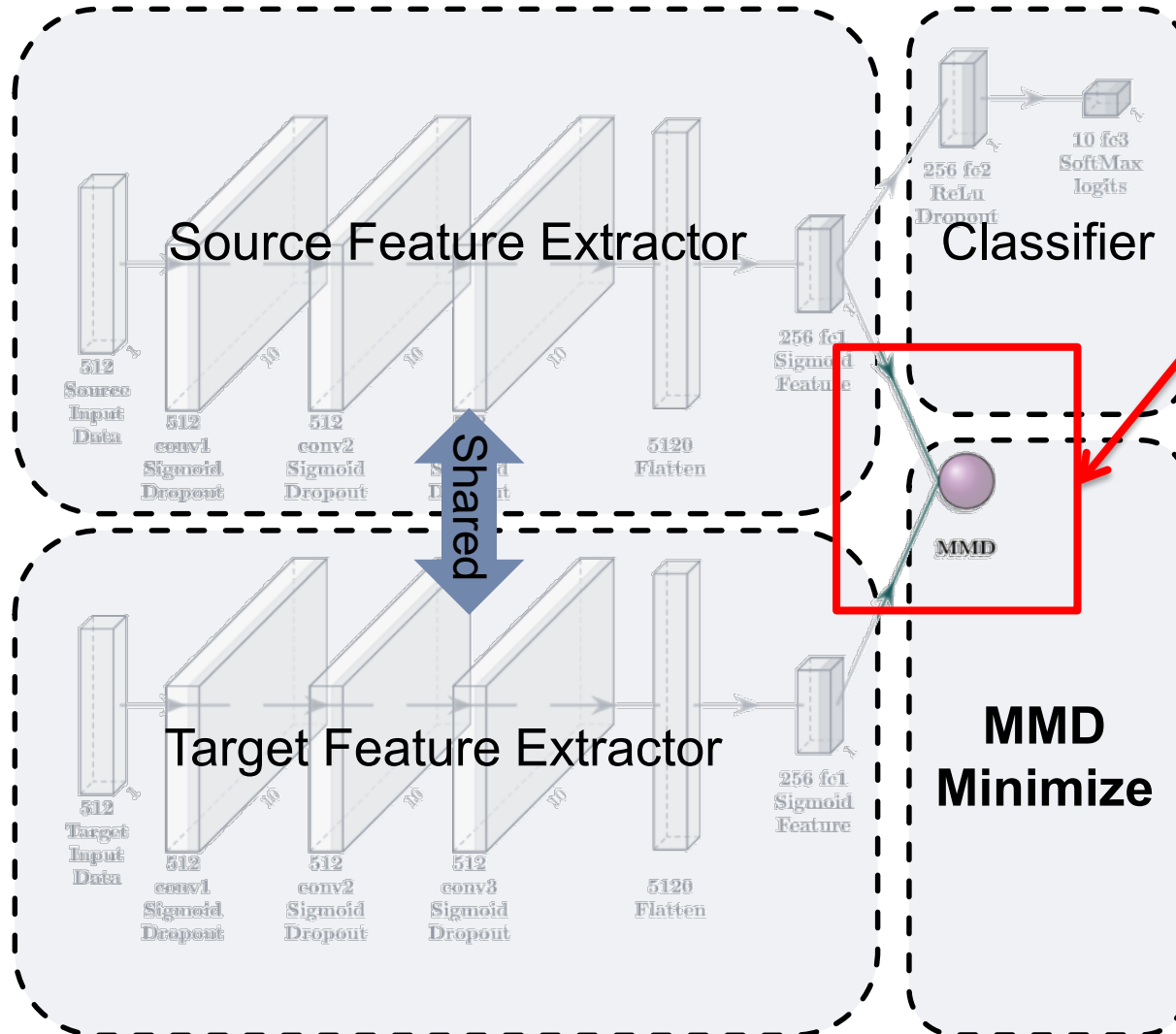
AdaBN adapts via statistics,  
can we **adapt** between domains via  
**Backpropagation?**

# Explicitly model the source-target discrepancy!



Off-the-shelf solution: Maximum Mean Discrepancy (MMD)

# Maximum Mean Discrepancy Minimization

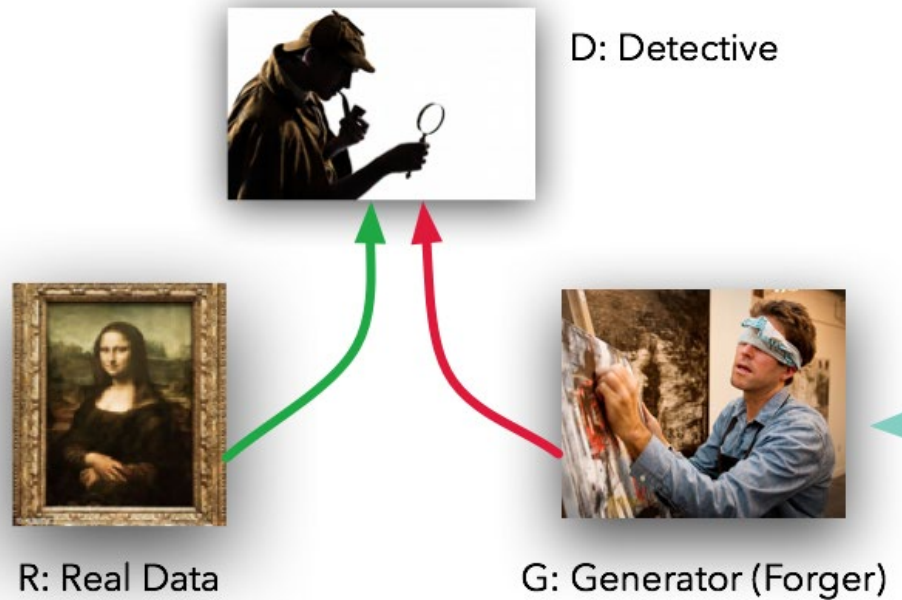


- Pros  
Finer alignment.
- Cons  
Multiple kernels needed  
**model complexity  $O(n^2)$**



**Explicit** discrepancy modelling is  <sup>$O(n^2)$</sup>  **expensive**,  
alternative **cheaper** solution?

# Adversarial Training

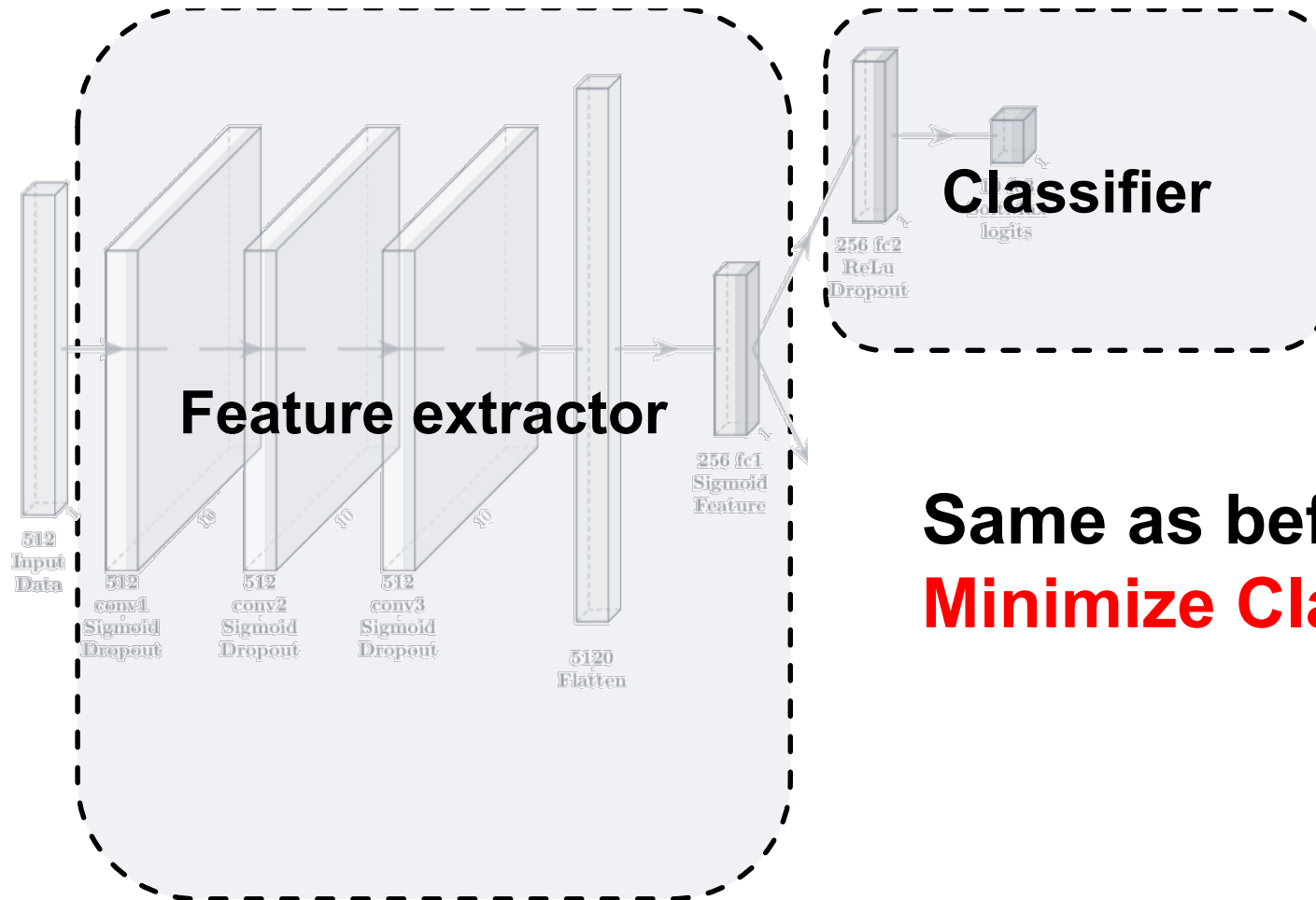


GAN



StyleGAN

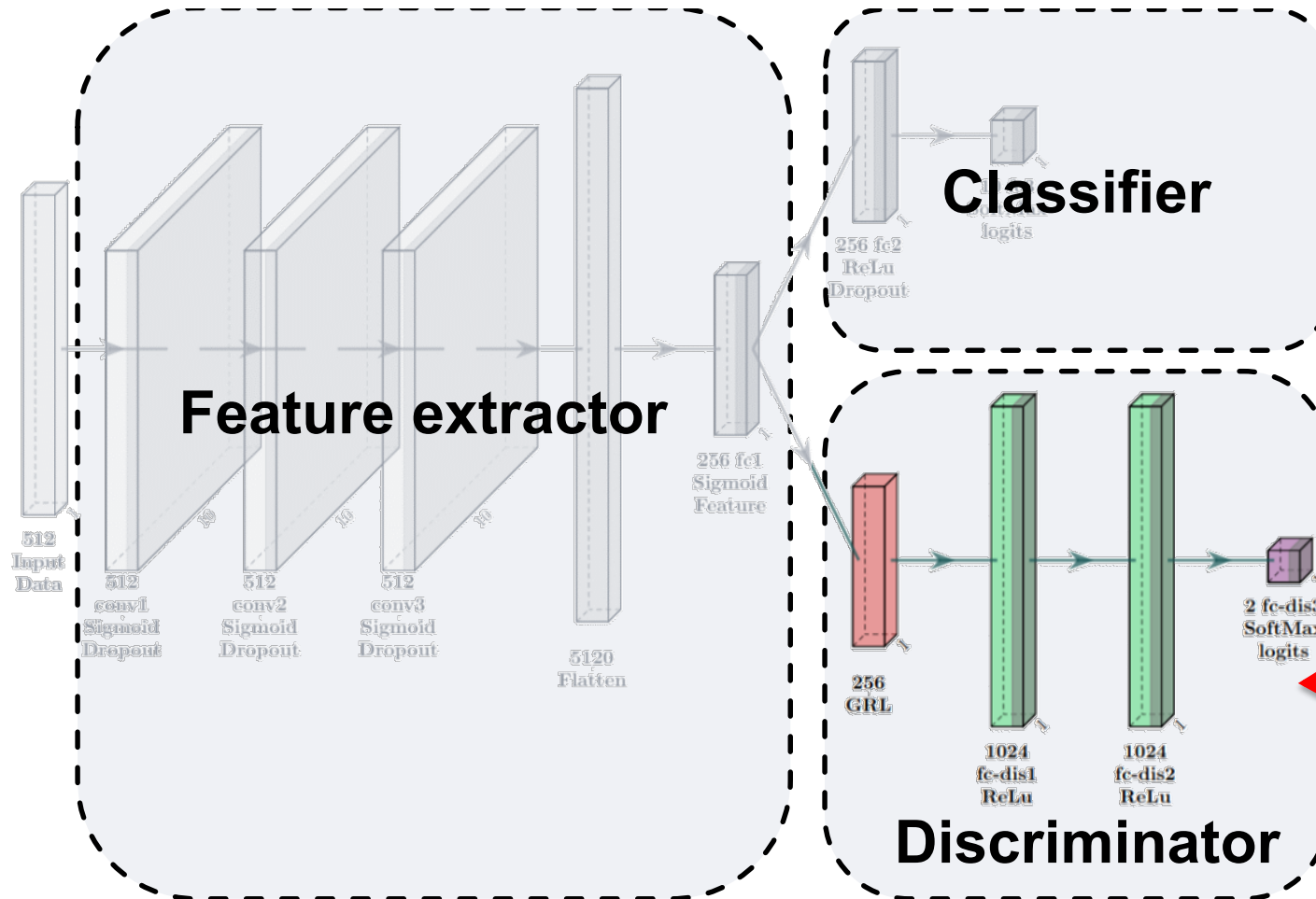
# Domain-Adversarial Training (DANN)



Same as before

**Minimize Classification Loss**

# Domain-Adversarial Training (DANN)

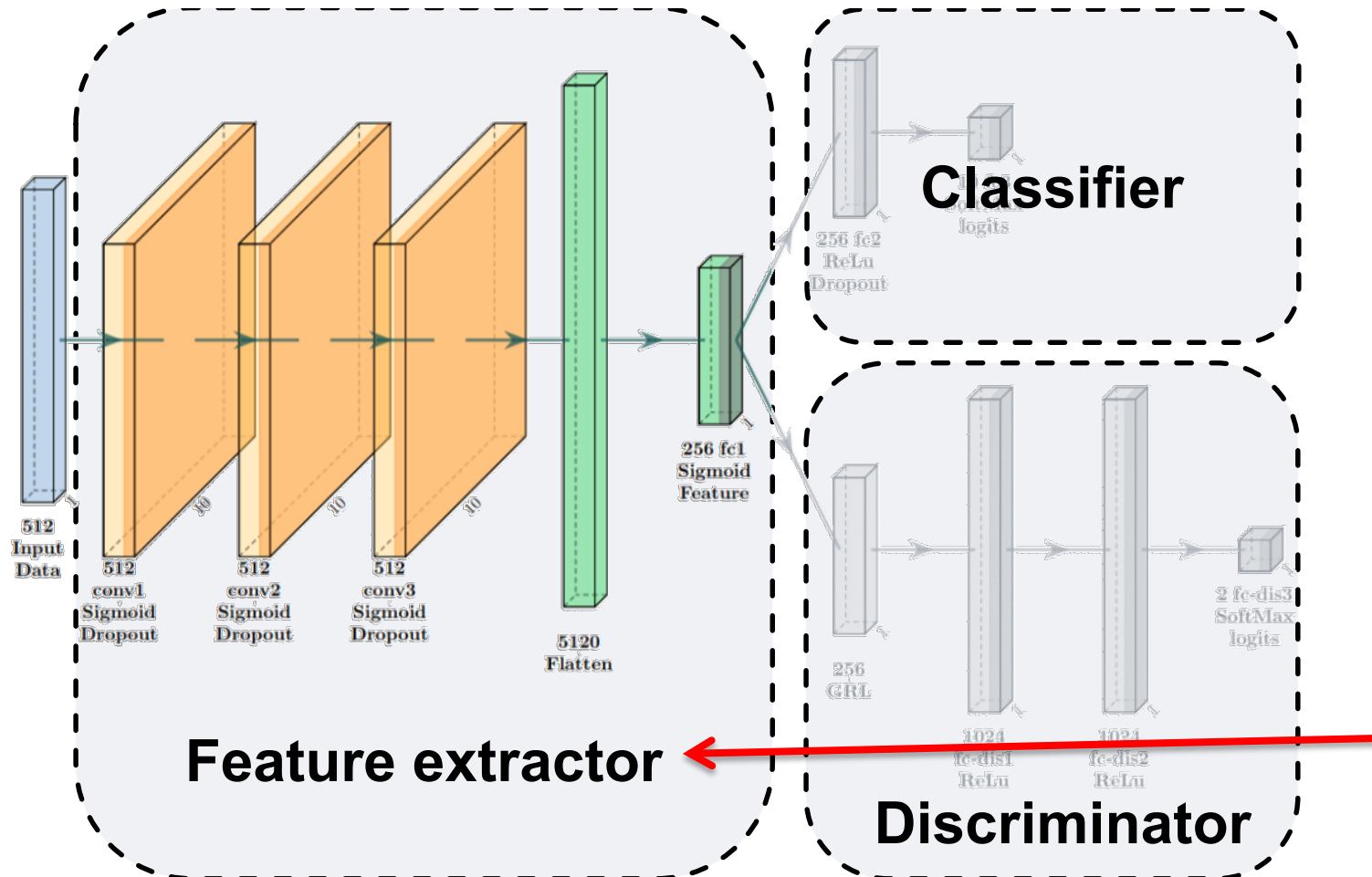


D: Detective

Train a **discriminator** to tell target from source

Minimize Discriminator loss w.r.t discriminator weights

# Domain-Adversarial Training (DANN)

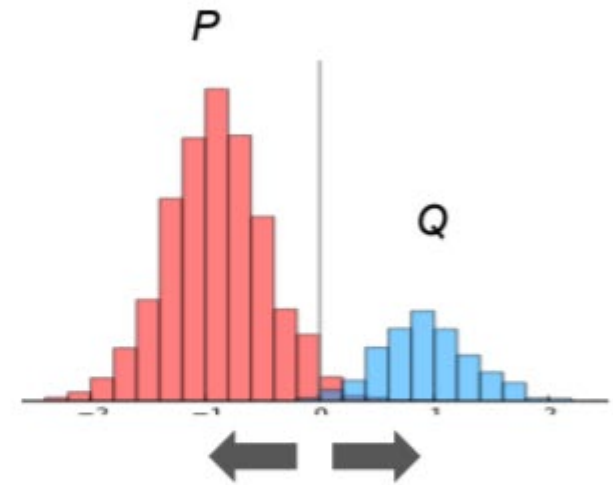
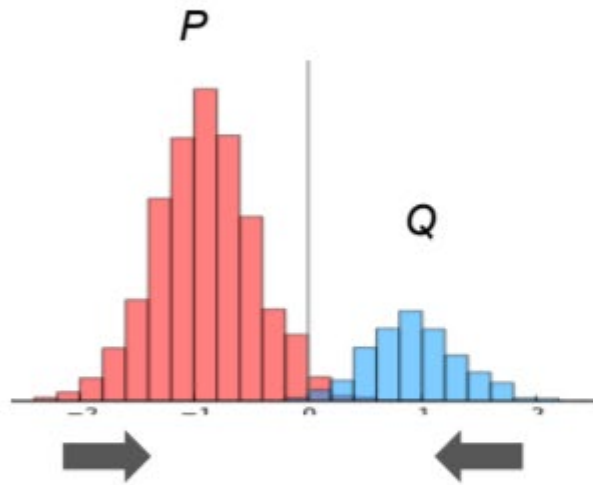


Encourage the feature extractor to **fool the discriminator**, and generate **unbiased** features

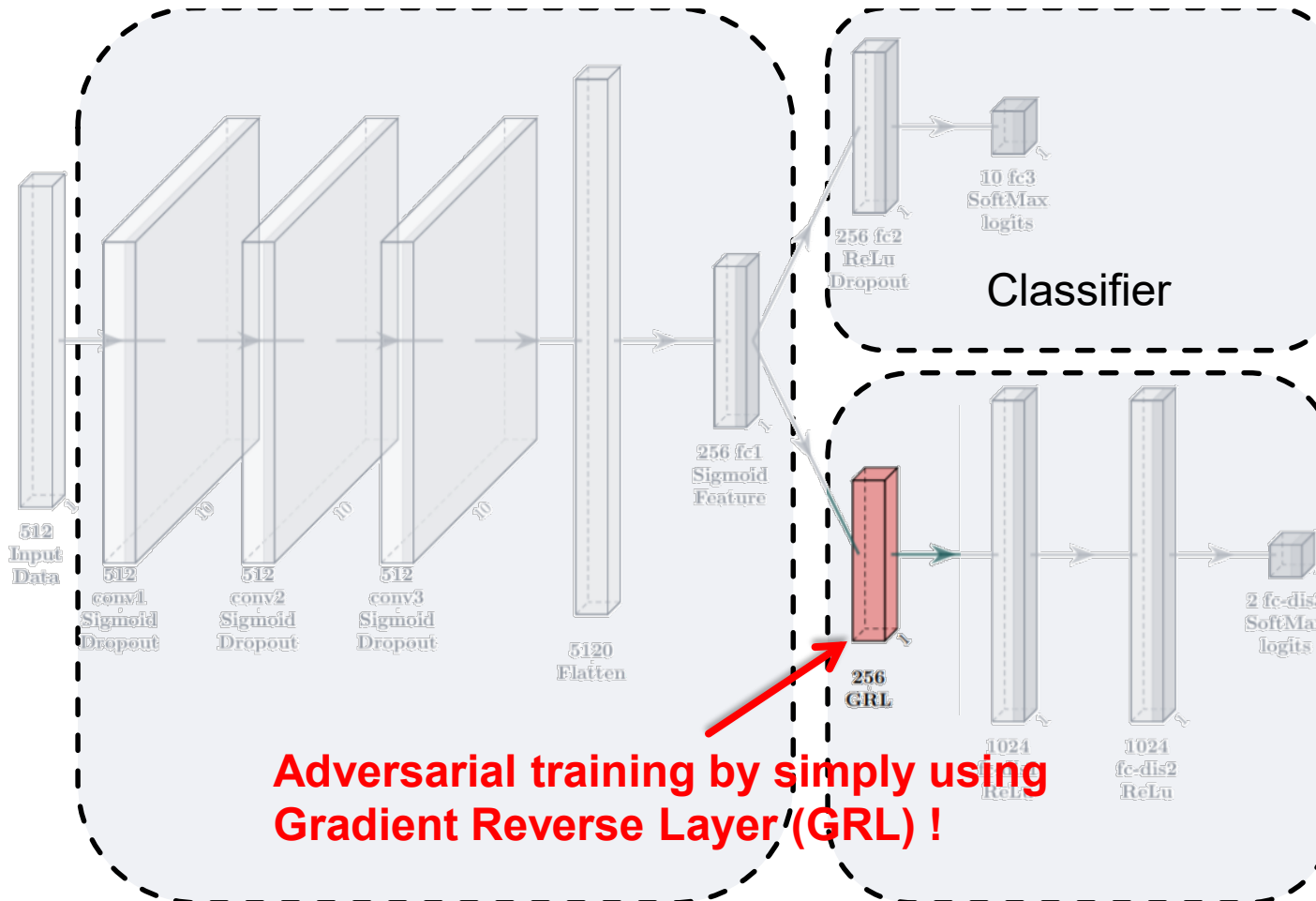
**Maximize Discriminator loss w.r.t feature extractor weights**

# Adversarial Training!

## Adversarial networks



# Domain-Adversarial Training (DANN)



Proved to be able to **implicitly reduce H-Divergence** between source and target distributions.

$O(n^1)$  instead of  $O(n^2)$



# Case Study on CWRU (Case Western Reserve University) Bearing Dataset

- Dataset information
  - Ten Classes classification

Fault	Class Label									
	0	1	2	3	4	5	6	7	8	9
Loc	NA <sup>1</sup>	IF	IF	IF	BF	BF	BF	OF	OF	OF
Size	0	7	14	21	7	14	21	7	14	21

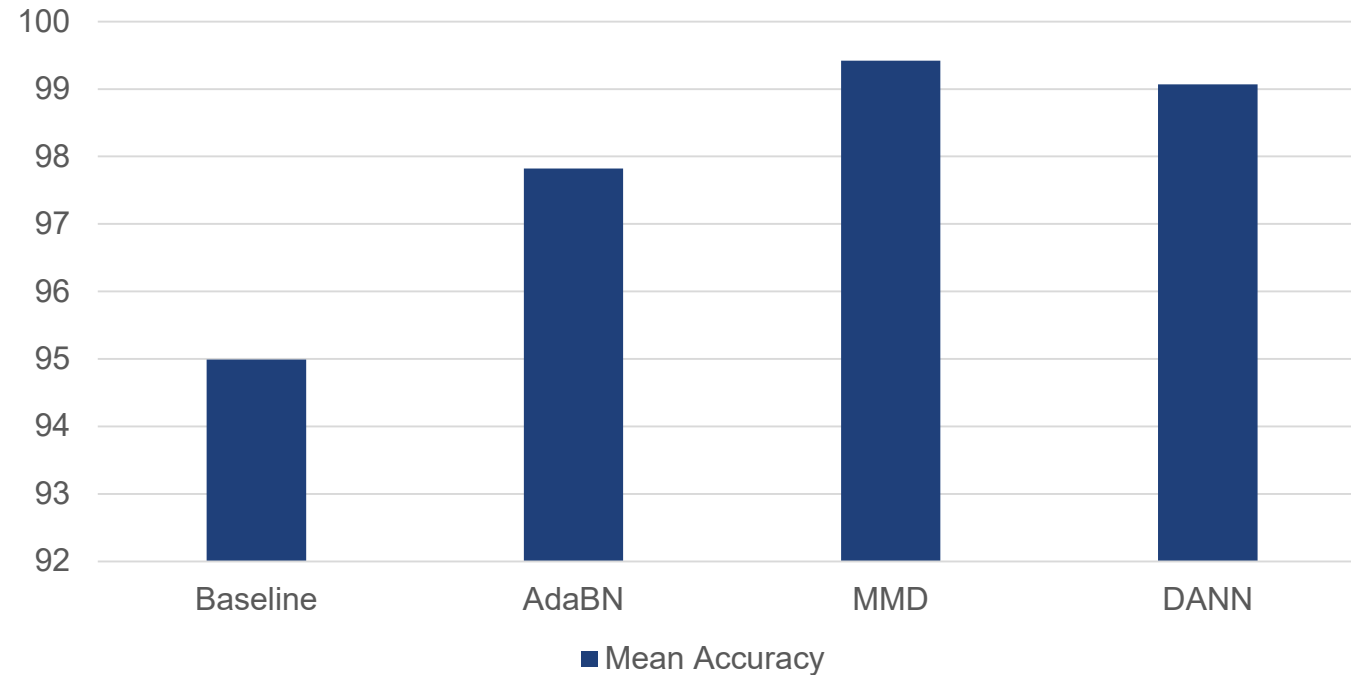


- Domain adaptation to a new operating condition  
Diagnosing faults on the unlabelled target bearing under a different load.

Motor Load (HP)	Approx. Motor Speed (rpm)
0	1797
1	1772
2	1750
3	1730

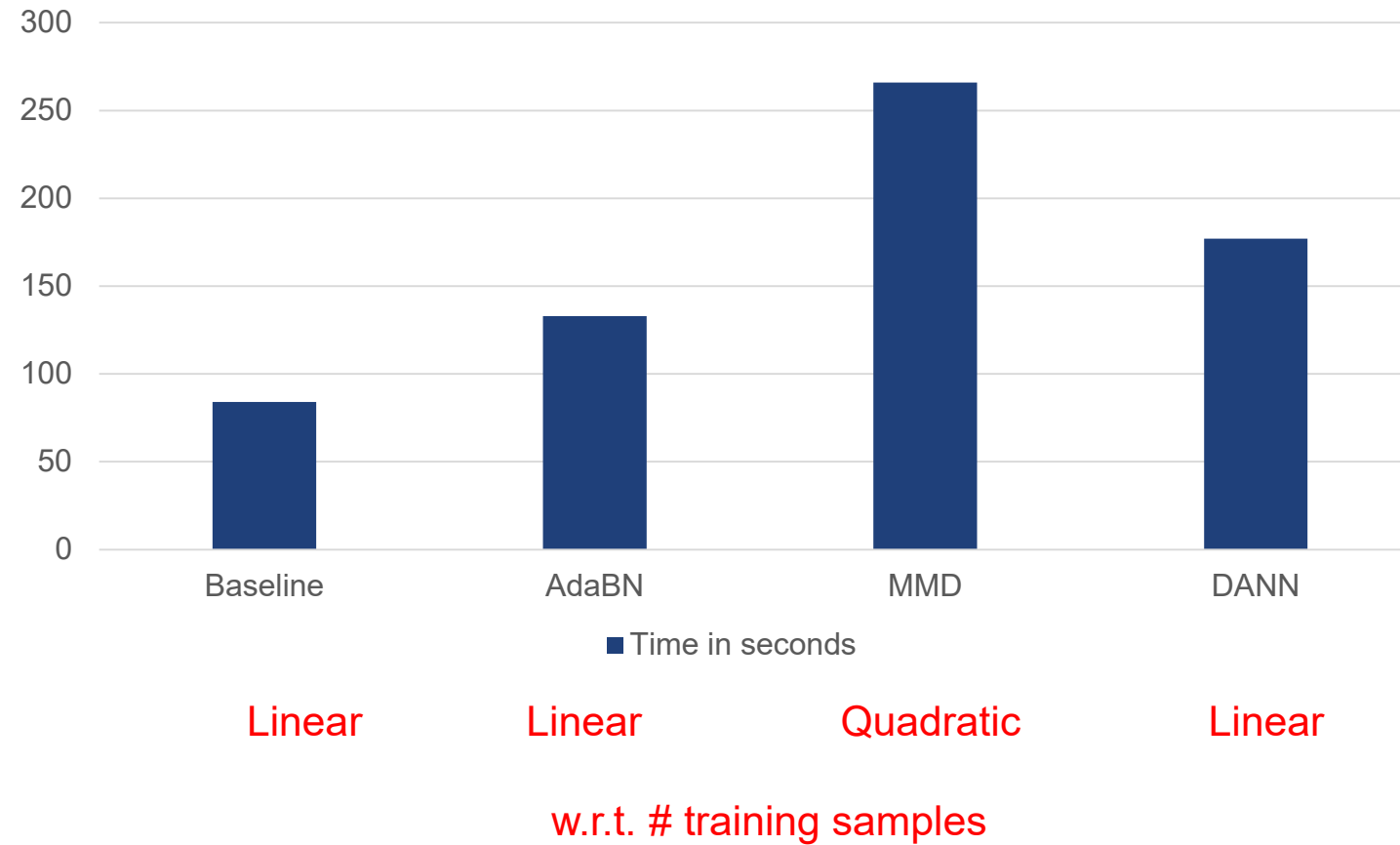
# Model Performance on CWRU Dataset

- Same basic architecture
- Same budget for hyper-parameter tuning
- Same optimizer and learning rate



Average Accuracy over **5** runs, trained on a NVIDIA GTX 1080

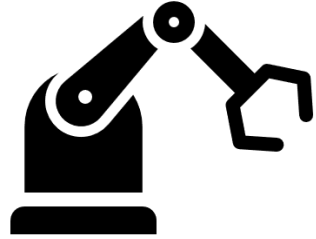
# Model Efficiency: Training Time



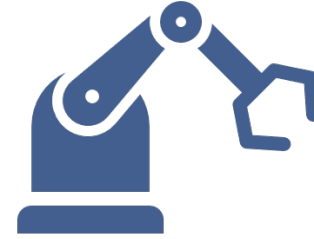
# Quick Summary

- All domain adaptation methods managed to **improve** the model **performance**.
- The adversarial method provided competitive results using **significantly less** training time.
- AdaBN is fast but performs worse than other two.

# Domain Adaptation for Fault Diagnosis



**Source** Machine



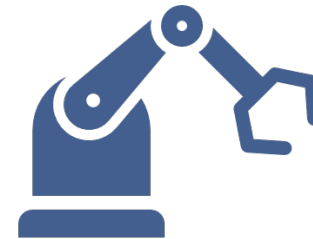
**Target** Machine

- Newly setup, Not yet labeled
- **Different** operating conditions
- **Non-identical** component

## Have we solved the problem?

Until now, **full access to target faults is assumed,**

but some **faults are rare.**



## DA for Fault Diagnosis

Source - Train	Target - Train	Target - Test
Healthy	Unknown	Healthy
Fault 1	Unknown	Fault 1
Fault 2	Unknown	Fault 2
Fault 3		Fault 3
Fault x		Fault x











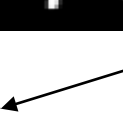

# Missing-Class Aware Adaptation

## Most faults are rare !



# Missing Classes Have an Effect: A MNIST example

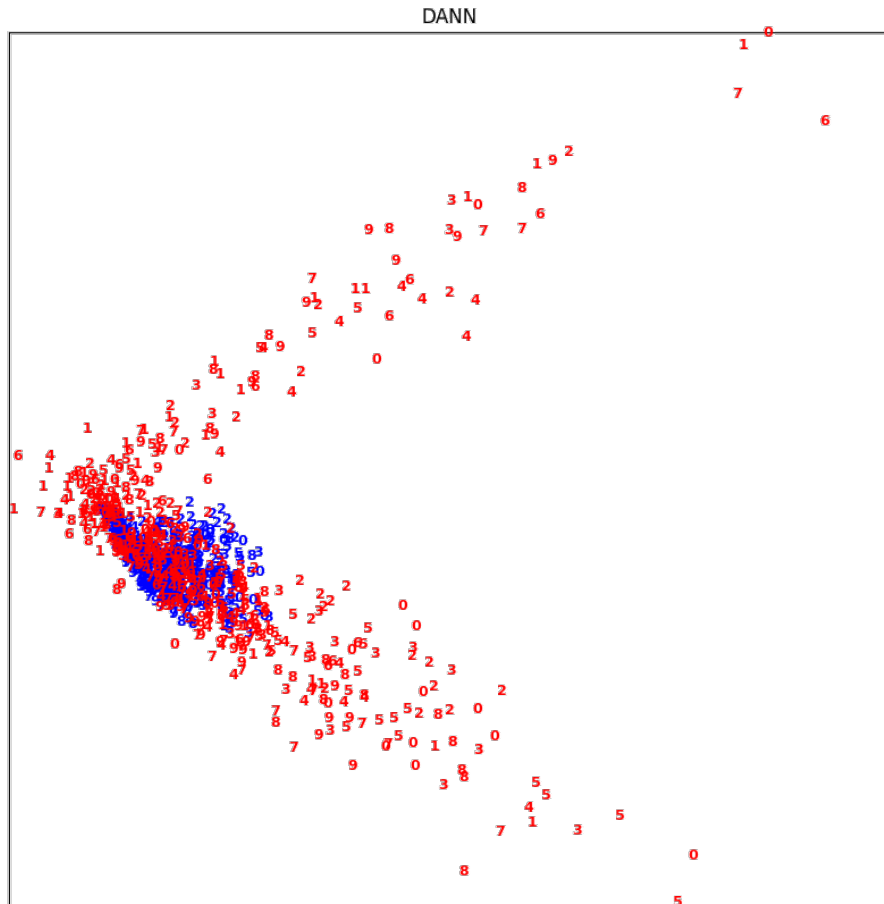
Missing-class DA with 10 classes

Source - Train		Target - Train		Target - Test	
Healthy		Unknown		Healthy	
Fault 1		Unknown		Fault 1	
Fault 2				Fault 2	
Fault 3				Fault 3	
Fault ...				Fault ...	

Aligning **Complete** Source with  
**Partial** Target is **Wrong**



# Missing Classes Have an Effect: A MNIST example



Part of Target Features

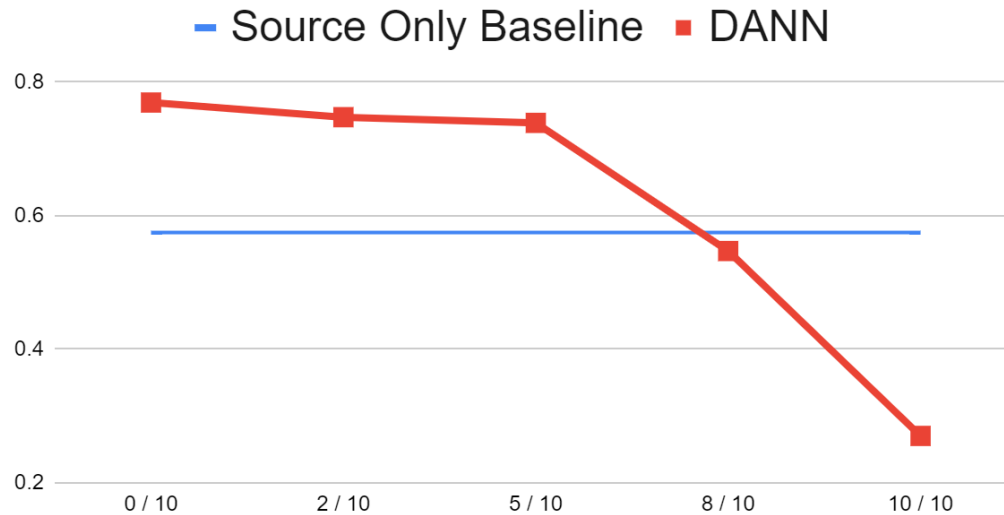
are aligned with

Complete source data

A good alignment should see red overlap with blue **on all classes**.

Feature Visualization on DANN Method

# Wrong Alignment Due to Missing Classes



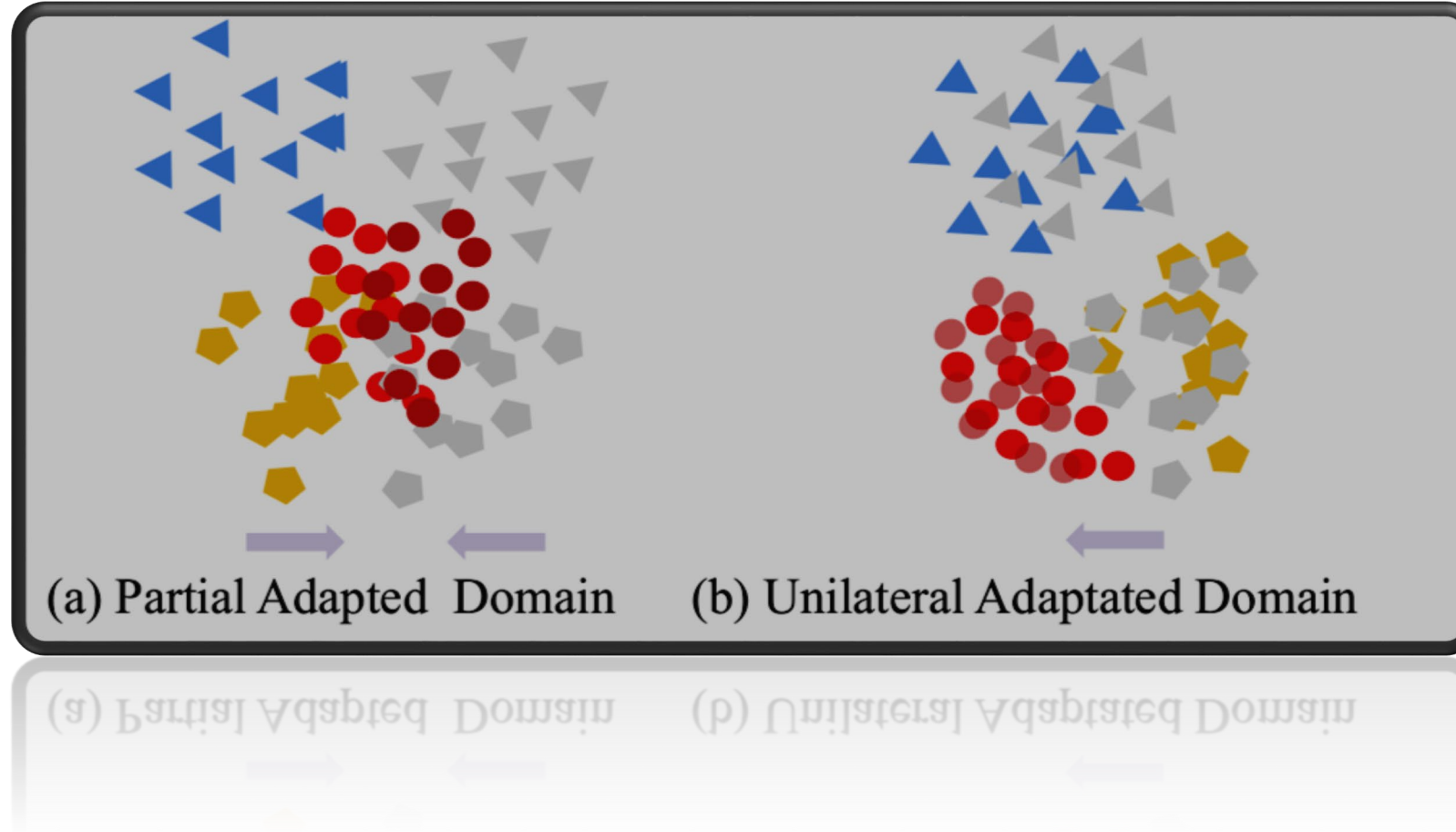
Number of Missing Classes in Target Training Data

DANN (Ganin et al 2016) performance on MNIST->MNIST-M task.

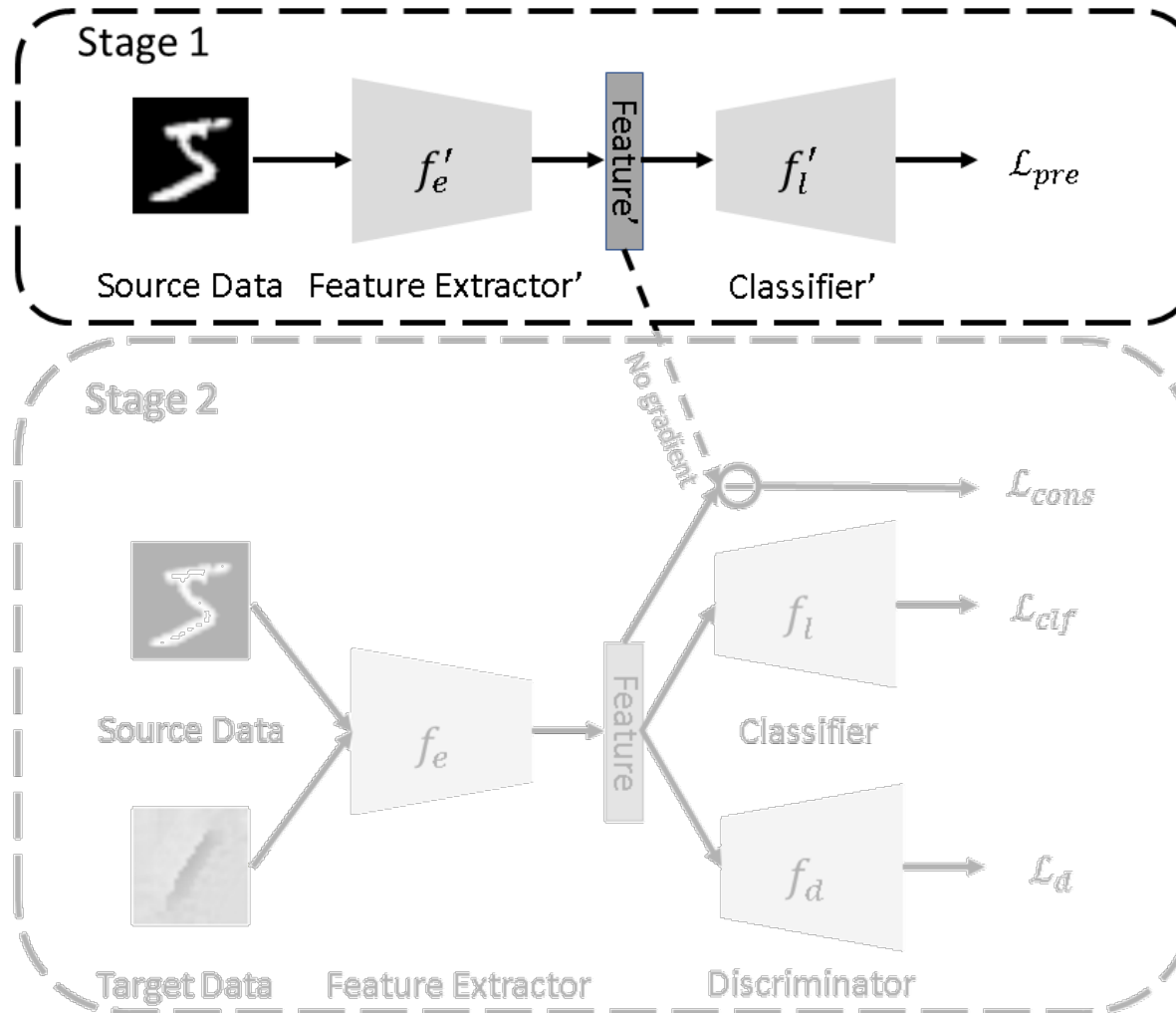
- **Discriminability** is hurt because of **misalignment**.

How can we  
**preserve discriminability**  
learned **from source** domain?

# Our Proposed Method: Unilateral vs Bilateral



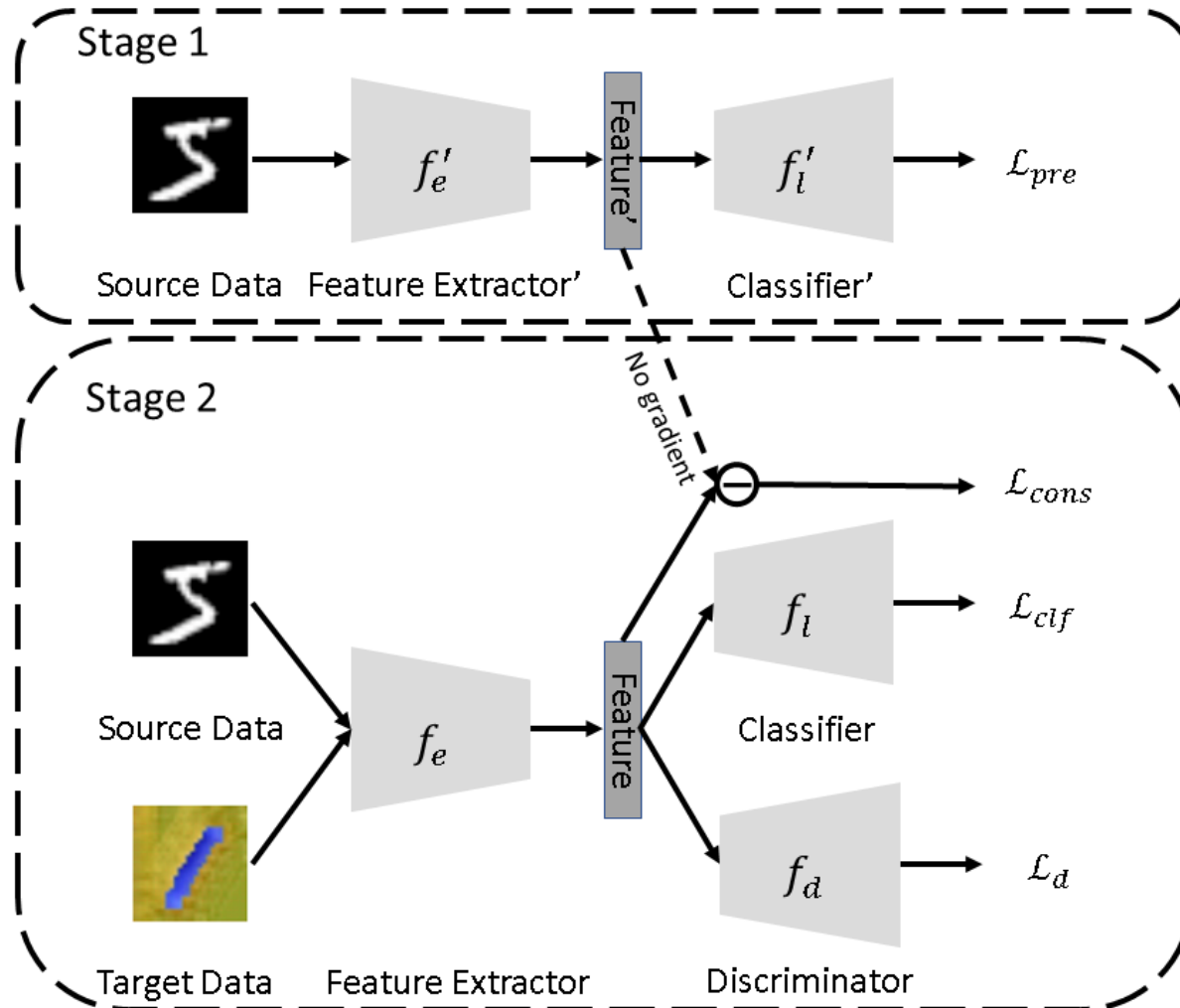
# Preserve discriminability learn from source by **unilateral alignment**



## Stage 1

- Train a source feature extractor
- Learn discriminability from source

# Preserve discriminability learn from source by **unilateral alignment**



## Stage 2

- Make use of this additional info to construct a **consistency loss**

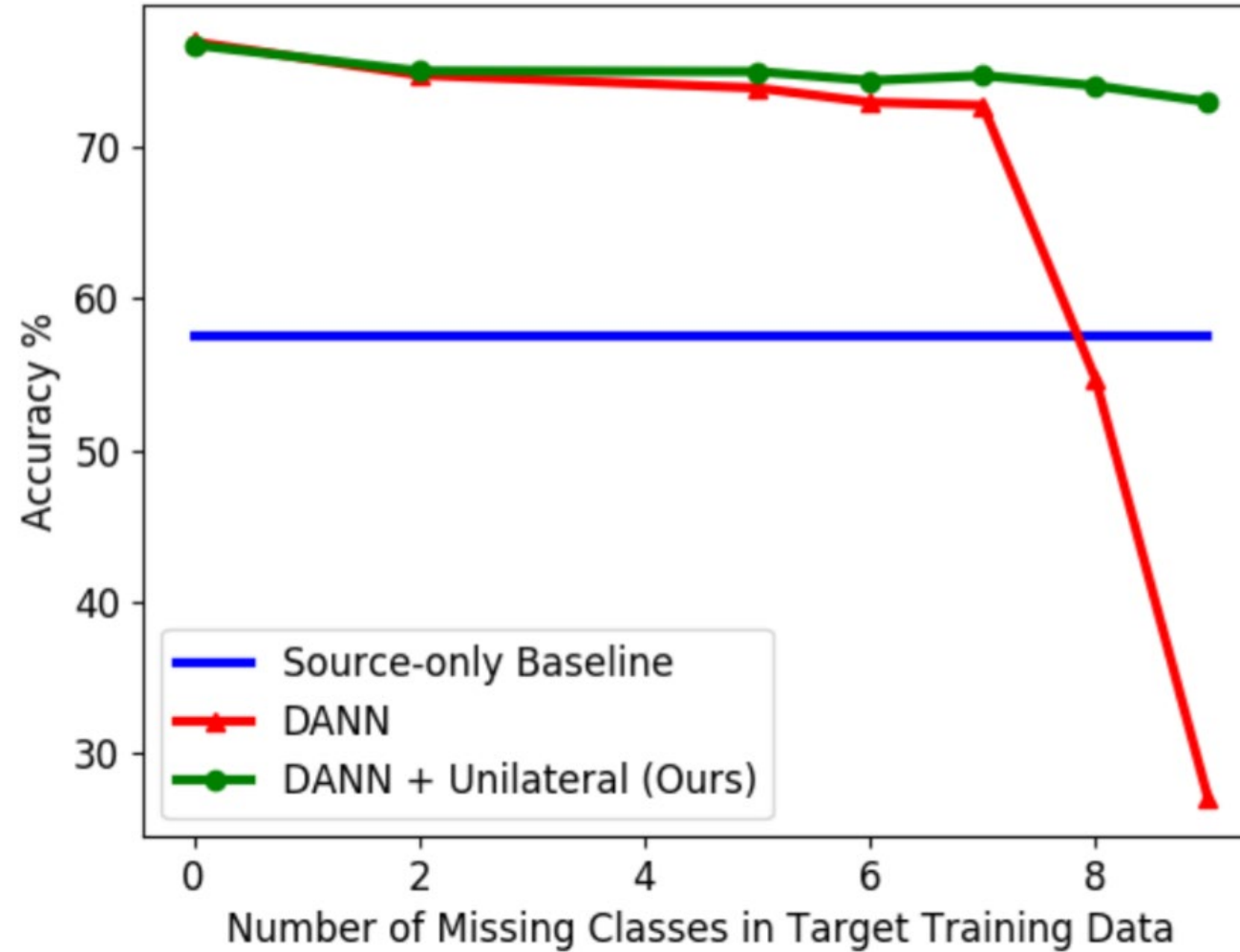
$$\mathcal{L}_{cons} = \frac{1}{K} \sum_{j=1}^K \|f(x_s)_j - f'(x_s)_j\|_1,$$

- Final loss contains three parts.

$$\mathcal{L} = \mathcal{L}_{clf} + \mathcal{L}_d + \lambda_{cons} \mathcal{L}_{cons}.$$



# Evaluation



**Better alignment in case of missing faults**

**One step closer to**

**DA for fault diagnosis in the wild.**

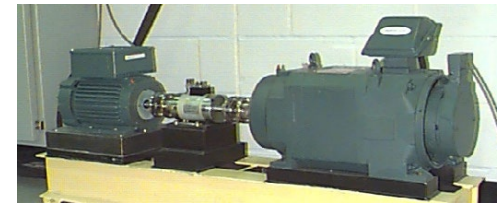
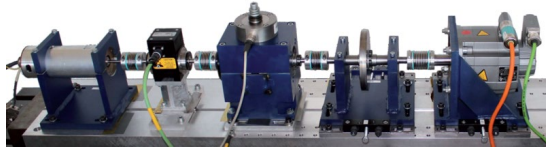
*Imbalanced data*

*Heterogenous input*

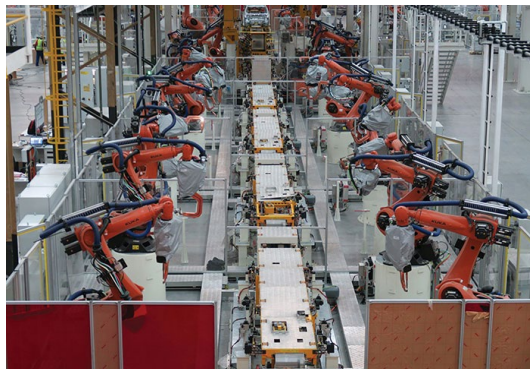
*Continuous domains*

# Future Applications

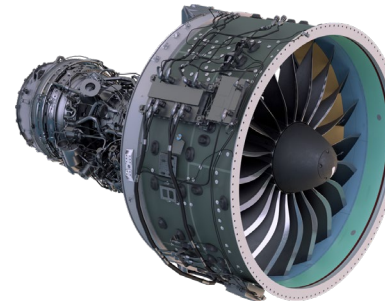
- From one operating condition to another



- From one machine to a fleet



- From one manufacturer to another



# Take-home Message

- **Domain Adaptation** is almost ready for real life industry applications.

More about our projects on domain adaptation:

<https://ims.ibi.ethz.ch>

<https://qin.ee>