



# **Prognostics for Systems Health Management - Model and Hybrid Based Approaches. Where are we heading?**

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# Acknowledgement

Research Team – Diagnostics and Prognostics  
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Collaborators

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Dr. Kai Goebel – PARC

Prof. Felipe Viana, Renato Nascimento -  
University of Central Florida

# Prognostics

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- Adopting condition-based maintenance strategies, instead of time-based maintenance
- Optimally scheduling maintenance
- Optimally planning for spare components
- Reconfiguring the system to avoid using the component before it fails
- Prolonging component life by modifying how the component is used
- Optimally plan or replan a mission
- System operations can be optimized in a variety of ways





Credit: [www.nasa.gov](http://www.nasa.gov)

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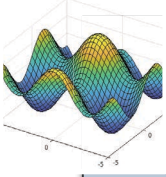
Credit: [www.nasa.gov](http://www.nasa.gov)





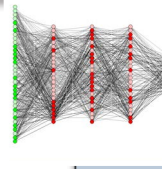
Credit: [www.nasa.gov](http://www.nasa.gov)

# Current State of the Art



- Results tend to be intuitive
- Models can be reused
- If incorporated early enough in the design process, can drive sensor requirements
- Computationally efficient to implement
- Model development requires a thorough understanding of the system
- High-fidelity models can be computationally intensive

- Paris-Erdogan Crack Growth Model
- Taylor tool wear model
- Corrosion model
- Abrasion model



- Easy and Fast to implement
- May identify relationships that were not previously considered
- Requires lots of data and a “balanced” approach”
- Results may be counter(or even un-)intuitive
- Can be computationally intensive, both for analysis and implementation

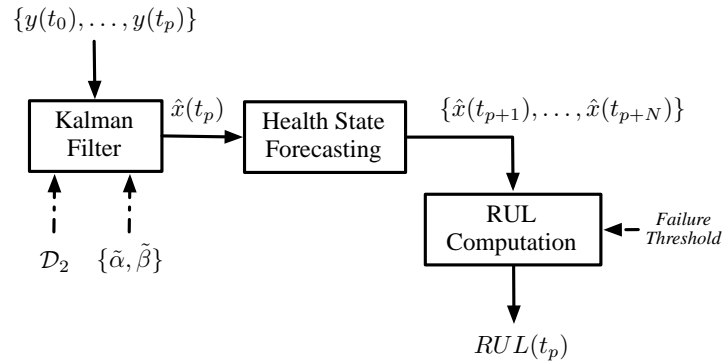
- Regression analysis
- Neural Networks (NN)
- Bayesian updates
- Relevance vector machines (RVM)

# Model-based prognostics

- State vector includes dynamics of normal and degradation process

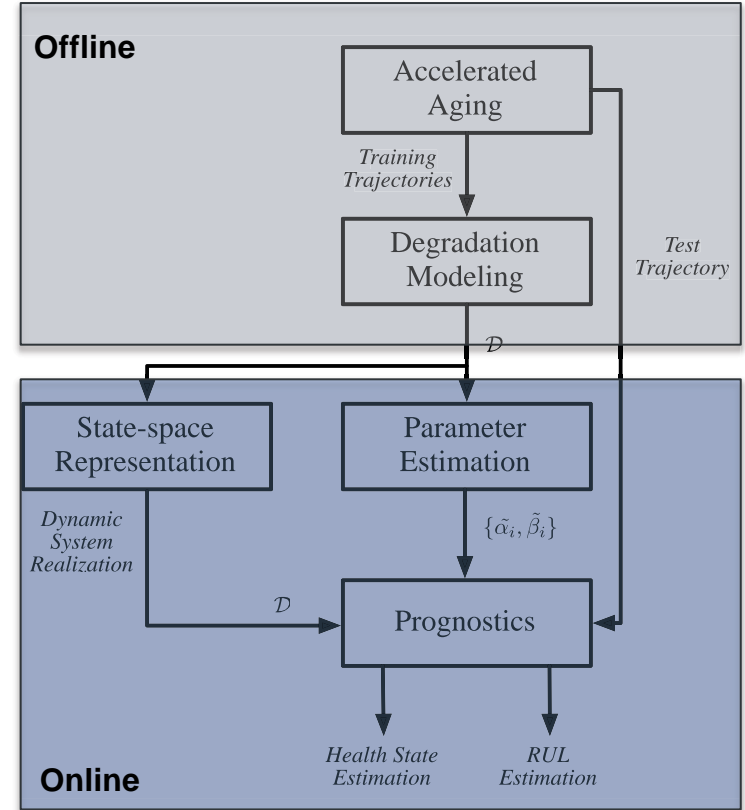
$$x_k = Ax_{k-1} + Bu_{k-1} + w_{k-1}$$

$$y_k = Hx_k + v_k$$



- EOL defined at time in which performance variable cross failure threshold

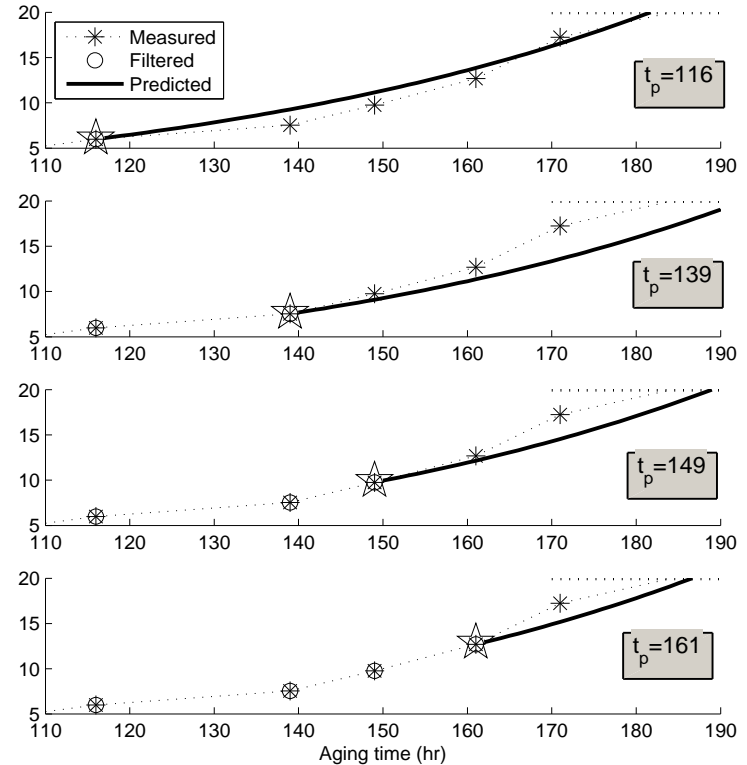
$$R(t_p) = t_{EOL} - t_p$$



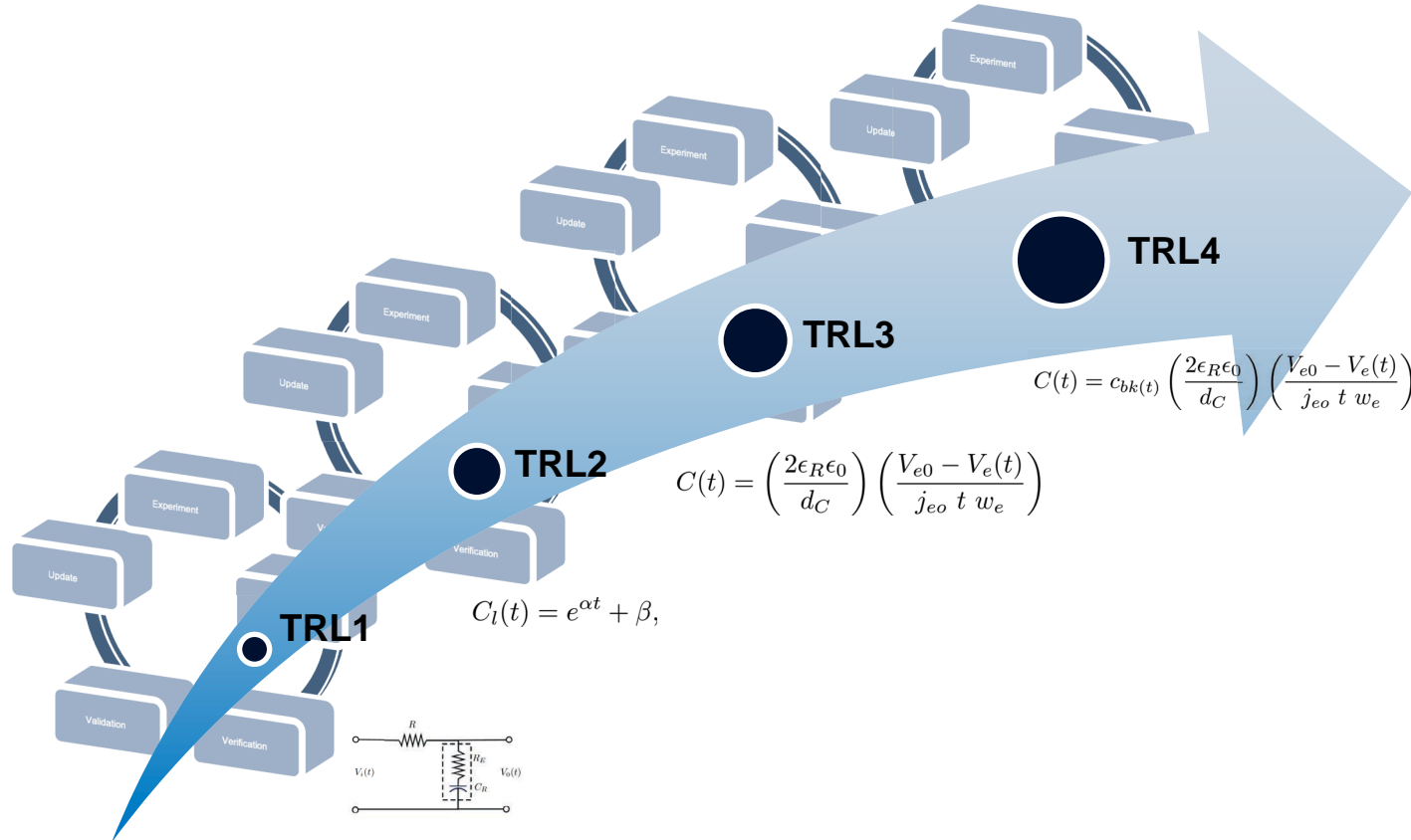


# Model-based prognostics

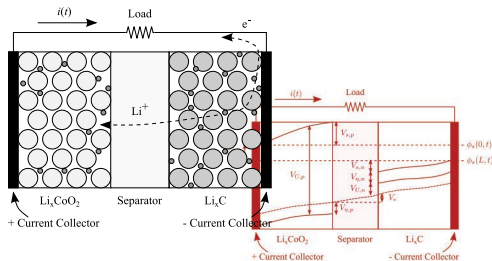
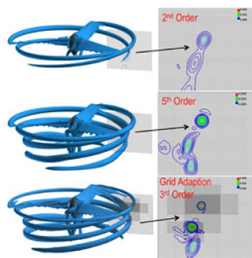
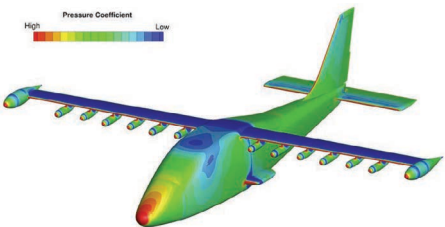
- Tracking of health state based on measurements
- Forecasting of health state until failure threshold is crossed
- Compute RUL as function of EOL defined at time failure threshold is crossed



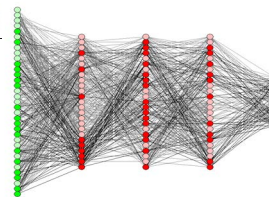
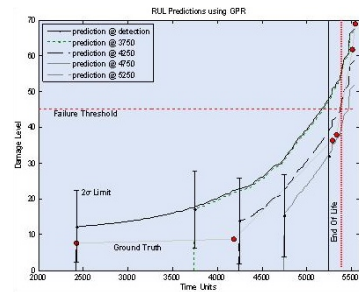
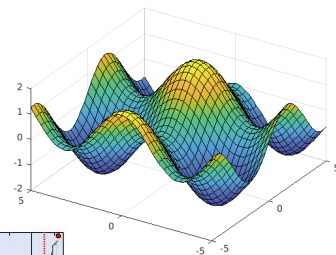
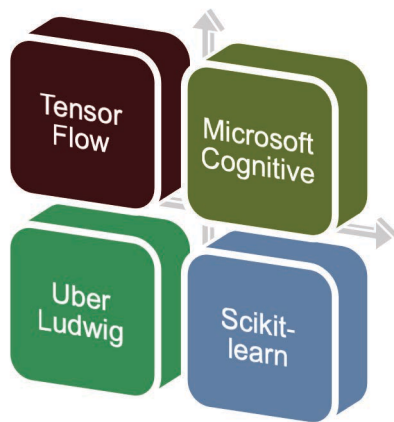
# Algorithm and Model Development TRL



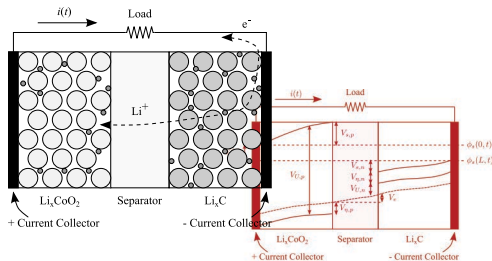
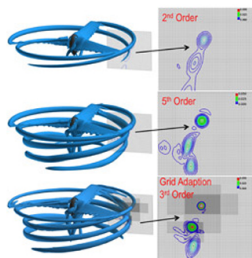
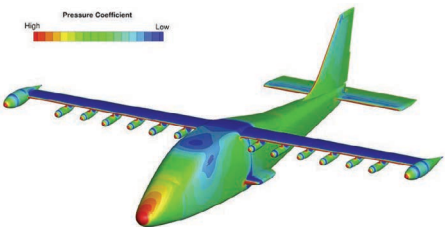




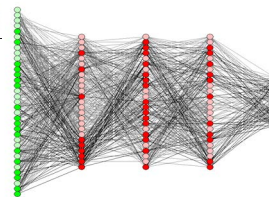
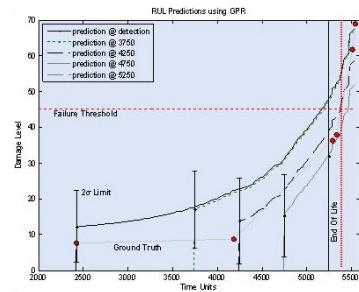
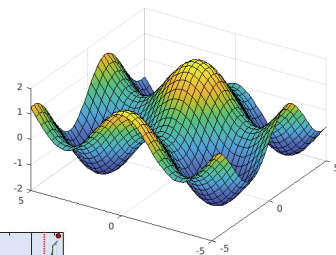
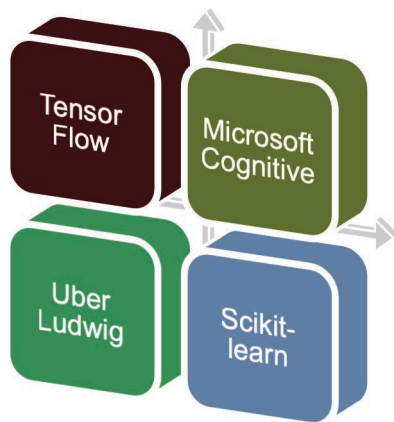
Machine Learning underlying physics parameter



Understanding and Learning underlying Physics for Complex Systems

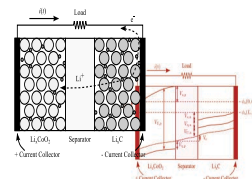
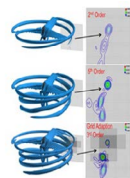
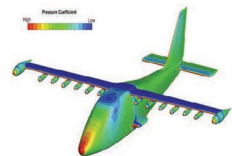


Machine Learning underlying physics parameter

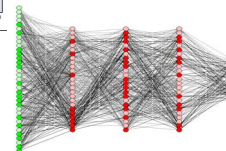
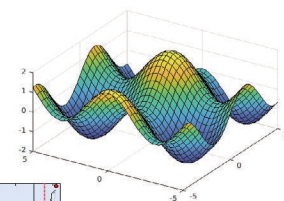
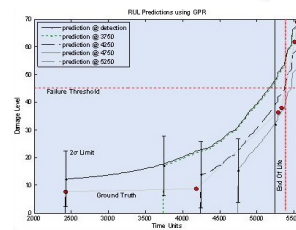


Understanding and Learning underlying Physics for Complex Systems



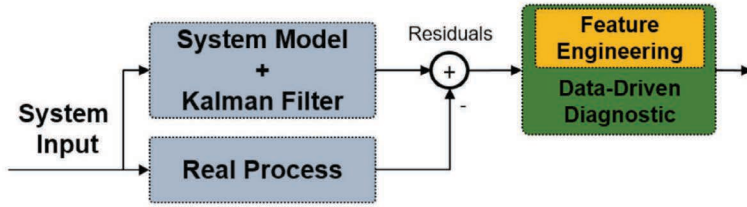


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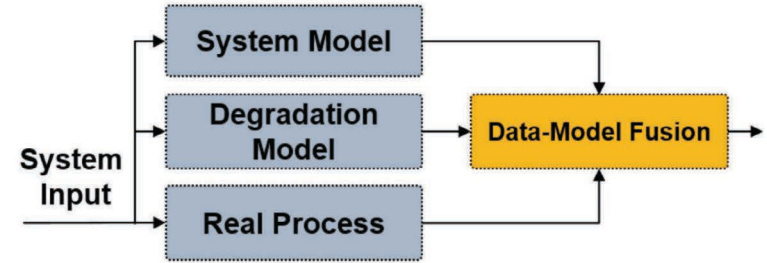


# Prior Work

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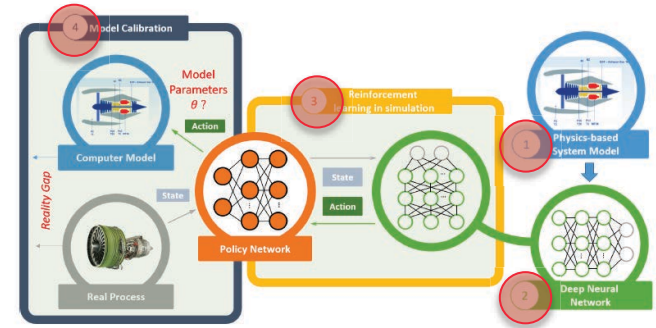
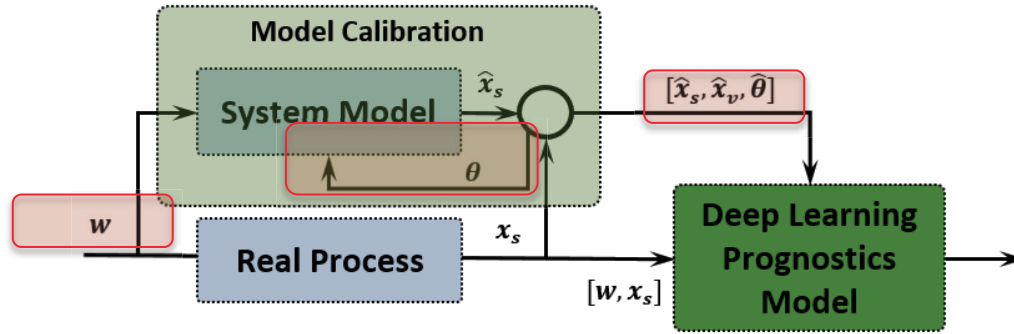
Overall architecture of the residual-based hybrid diagnostics (Rausch et al., 2005).



(Hanachi et al., 2017).



# Deep Learning + Physics Model Calibration



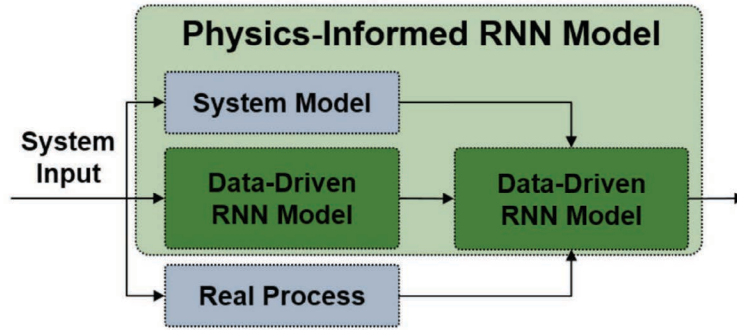
Overall architecture of the hybrid prognostics framework fusing physics-based and deep learning models.

Calibration Policy

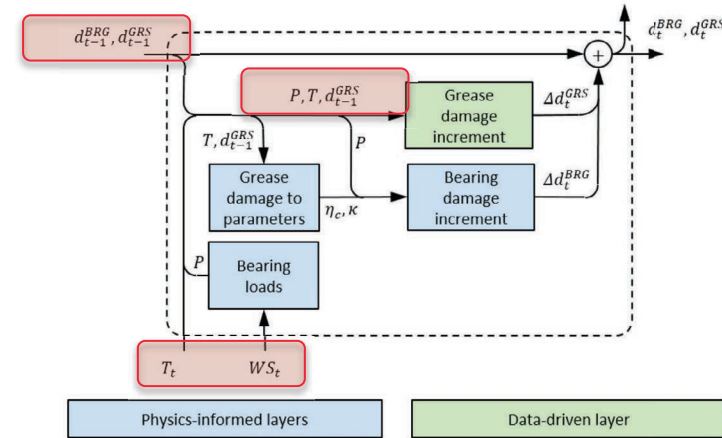
Yuan Tian, Manuel Arias Chao, Chetan Kulkarni, Kai Goebel, Olga Fink, "Real-Time Model Calibration with Deep Reinforcement Learning", [arXiv:2006.04001](#)

Manuel Arias Chao, Chetan Kulkarni, Kai Goebel, Olga Fink, "Fusing Physics-based and Deep Learning Models for Prognostics", [arXiv:2003.00732](#)

# Physics + RNN



Overall architecture of the physics-informed recurrent neural network



Physics-informed neural network framework for main bearing fatigue and grease degradation

Nascimento, R. G., & Viana, F. A. (2019). Fleet prognosis with physics-informed recurrent neural networks. In Structural health monitoring 2019: Enabling intelligent life-cycle health management for industry internet of things (iiot) - proceedings of the 12<sup>th</sup> international workshop on structural health monitoring (Vol. 2, pp. 1740–1747).

Y. A. Yucesan and F. A. C. Viana, "A physics-informed neural network for wind turbine main bearing fatigue," International Journal of Prognostics and Health Management, Vol. 11 (1), 2020. (ISSN: 2153-2648).

# Next Steps : Looking Ahead

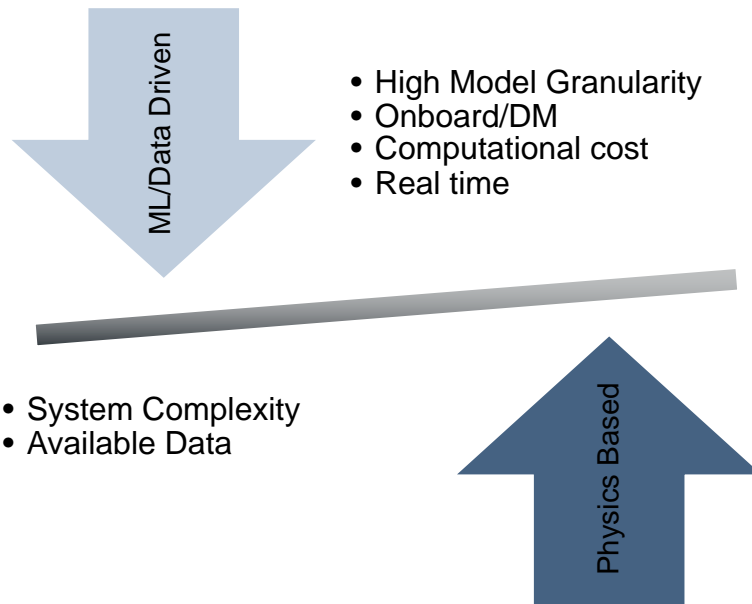
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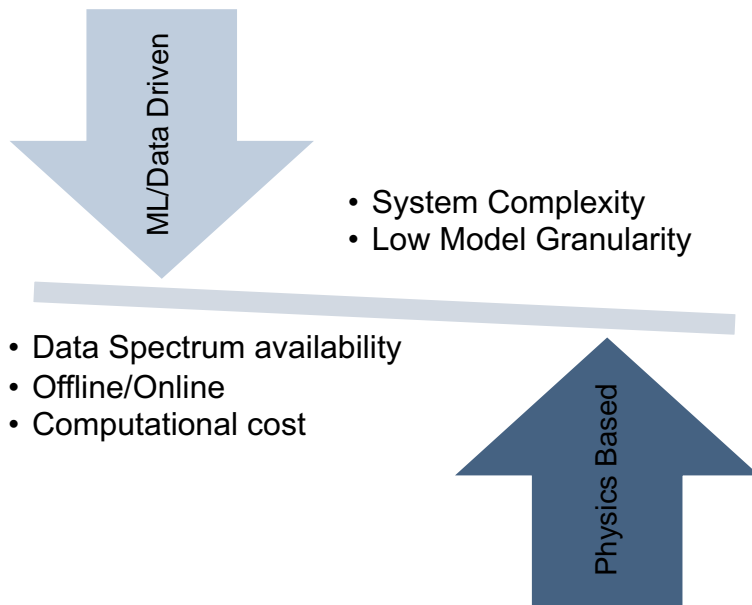
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# Concluding Remarks

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- Prognostics helps enable
  - Systems safe and efficient
  - Decision making
- Hybrid Approaches
  - Physics based methods can be combined with machine learning to determine and evaluate models for complex physical systems.
    - High Fidelity simulation
    - Field and Tests
  - These models enable in verification and validation for autonomy in shorter period of time than current state of the art.
    - Computational tools are too slow.
  - With availability of test and field data, machine learning able to blend the digital data fabric for model update
  - Uncertainty Quantification
- Framework still in early stages and needs maturation
- Requirements for autonomous systems





# Thank You

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<https://ti.arc.nasa.gov/tech/dash/groups/pcoe/>